

Please amend the application filed on even date herewith prior to proceeding with its examination.

**IN THE CLAIMS**

1. (Previously Presented) Segment copolymers comprising segments "A"  
5 having polyvinylpyrrolidone (PVP) structure and segments "B" having a polyester structure wherein the PVP segments have a weight average molecular weight between 600 and 15,000.
2. (Original) Segment copolymers as claimed in claim 1 in the form of linear A-B type copolymers.
- 10 3. (Currently Amended) Segment copolymers as claimed in claim 2 ~~wherein the~~having a structure is of the type  
PVP-COO-(R<sup>3</sup>-COO)<sub>n</sub>H,  
or of the type:  
PVP-(OOC-R<sup>3</sup>)<sub>n</sub>OH,  
15 where n is a number between 5 and 500, and R is a linear or branched hydrocarbon chain containing from 1 to 12 carbon atoms.
4. (Original) Segment copolymers as claimed in claim 3 wherein n is comprised between 15 and 150, R<sup>3</sup> has from 1 to 6 carbon atoms.
5. (Original) Segment copolymers as claimed in claim 1 in the form of  
20 linear copolymers of A-B-A type.
6. (Currently Amended) Copolymers as claimed in claim 5 ~~wherein the~~5  
having a structure is of the type  
PVP-COO-(R<sup>1</sup>-OOCR<sup>2</sup>COO)<sub>n</sub>-R<sup>1</sup>-OOC-PVP,  
or of the type:



where n is a number between 5 and 300, and R<sup>1</sup> and R<sup>2</sup> can be equal or different, and are linear or branched hydrocarbon chains having from 1 to 25 carbon atoms.

7. (Original) Segment copolymers as claimed in claim 6 wherein n is  
 5 comprised between 10 and 100 and R<sup>1</sup> and R<sup>2</sup> have from 1 to 8 carbon atoms.
8. (Currently Amended) Segment copolymers as claimed in claim 1 being branched or hyperbranched, ~~wherein the~~ comprising PVP segments are located at the terminal ends of ~~the~~ branches.
9. (Currently Amended) Segment copolymers as claimed in claim 8,  
 10 having the formula represented in Figure 1, wherein A is polyvinylpyrrolidone, D is a ~~the~~ residue deriving from a polycarboxylic or polyol, wherein the hydroxy or carboxy functions are at least 3, (BC) indicate the repeating unit of the B polyester segment and n is comprised between 2 and 200.
10. (Currently Amended) Copolymers as claimed in claim ~~4~~8 being in the  
 15 form of branched or hyperbranched copolymers having located at the ends of ~~the~~ branches;
  - PVP segments and residues derived from monocarboxylic acids R-COOH, or monohydroxylated alcohols of the R-OH type where R is a linear or branched hydrocarbon chain containing from 1 to 25 carbon atoms,
  - 20 - PVP segments or residues derived from dicarboxylic acids HOOC-R-COOH or dihydroxylic alcohols of the HO-R-OH type where R is a linear or branched hydrocarbon chain as aforedefined.
11. (Original) Segment copolymers as claimed in claim 10, wherein R has from 1 to 8 atoms.

12. (Currently Amended) Segment copolymers as claimed in ~~anyone of~~  
claims claim 10 and 14 as represented in Figure 2, wherein the A indicates the  
polyvinylpyrrolidone chains D is ~~the~~ a residue deriving from a polycarboxylic or  
polyol, wherein the hydroxy or carboxy functions are at least 3, (BC) indicate ~~the~~ a  
5 repeating unit of the B polyester segment n is comprised between 2 and 200, and  
E is ~~the~~ a residue of a monofunctional alcohol.
13. (Currently Amended) Segment copolymers as claimed in ~~anyone of~~  
claims ~~8 to 13~~, claim 8, wherein the branching sites consist of polyol or  
polycarboxylic acid residues having a number of functions (hydroxyl or carboxyl  
10 respectively) between 3 and 12.
14. (Currently Amended) Segment copolymers as claimed in claim 13  
wherein said number of functions of the polyol or polycarboxylic acid is comprised  
between 3 and 6.
15. (Currently Amended) Segment copolymers as claimed in ~~any one of~~  
claims ~~8-14~~, ~~wherein the~~ claim 8, having a molar ratio between the number of  
15 ~~branching sites~~ branches and polyester fragments ~~is~~ comprised between 0.01 and  
~~2-2~~ 2.
16. (Original) Segment copolymers as claimed in claim 15, wherein said  
ratio is comprised between 0.1 and 1.5.
- 20 17. (Currently Amended) Segment copolymers as claimed in ~~anyone of~~  
claims ~~8-15~~, claim 8, wherein the molar ratio between the number of branching  
sites and PVP fragments ~~is~~ comprised between 0.01 and ~~100~~, 100.
18. (Original) Segment copolymers as claimed in claim 17, wherein said  
molar ratio is comprised between 0.1 and 10.

19. (Currently Amended) Segment copolymers as claimed in ~~any one of~~  
claims ~~8-18~~ 18 in cross-linked form.
20. (Original) Segment copolymers as claimed in claim 1 wherein the PVP  
segments are comb-grafted at one end onto polyester chains.
- 5 21. (Currently Amended) Segment copolymers as claimed in ~~any one of~~  
~~claims 1-20~~ claim 1 wherein said A (PVP) segment has a weight average  
molecular weight comprised between 1,000 and 6,000.
22. (Currently Amended) Segment copolymers as claimed in ~~any one of~~  
~~claims 1-21~~, claim 1, having a PVP content by weight between 5% and 95%.
- 10 23. (Previously Presented) Segment copolymers as claimed in claim 22  
wherein said PVP content is comprised between 10% and 50%.
24. (Currently Amended) Segment copolymers as claimed in ~~any one of~~  
~~claims 1-23~~, claim 1, having a weight average molecular comprised between  
10,000 and 1,000,000.
- 15 25. (Previously Presented) Segment copolymers as claimed in claim 24,  
wherein said average molecular weight is comprised between 20,000 and  
200,000.
26. (Currently Amended) A process for preparing segment copolymers,  
comprising segments "A" having polyvinylpyrrolidone (PVP) structure and  
20 segments "B" having a polyester structure wherein the PVP segments have a  
weight average molecular weight between 600 and 15,000, in the form of linear  
A-B type the copolymer as claimed in claim 2, copolymers, said process  
comprising carrying out a polycondensation reaction on PVP terminated at one  
end with a hydroxy or carboxy function with respectively:

-a biacid or a bialcohol in the presence of a monoalcohol or a monocarboxylic acid  
or in alternative

-a hydroxy carboxylic acid optionally a cyclic derivative thereof,

with the proviso that ratio of total moles of OH function /total moles of COOH

5 functions is =1.

27. (Currently Amended) The process according to claim 26 for preparing  
the segment copolymers, having a structure of the type:

~~copolymers of claim 3,~~ PVP-COO-(R<sup>3</sup>-COO)<sub>n</sub>H,

or of the type:

10 PVP-(OOC-R<sup>3</sup>)<sub>n</sub>OH,

where n is a number between 5 and 500, and R is a linear or branched

hydrocarbon chain containing from 1 to 12 carbon atoms,

comprising effecting polycondensation between PVPs monofunctionalized at one  
end with hydroxyl or carboxyl groups optionally in the form of methyl or ethyl

15 esters, in the presence of hydroxycarboxylic acids of type

HO-R<sup>3</sup>-COOH

where R<sup>3</sup> is a linear or branched hydrocarbon chain with between 1 and 12 carbon  
atoms.

28. (Previously Presented) The process according to claim 27, wherein R<sup>3</sup>  
20 has from 1 to 6 carbon atoms.

29. (Currently Amended) The process according to claim 27 for preparing  
the copolymers having the structure

of claims 3, PVP-COO-(R<sup>3</sup>-COO)<sub>n</sub>H,

comprising effecting ring-opening polycondensation on PVP monofunctionalised at one end with hydroxyl or carboxyl groups optionally in the form of methyl or ethyl esters with cyclic derivatives selected from lactones, glycolides or lactides of the hydroxy acids of formula

5 HO-R<sup>3</sup>-COOH

where R<sup>3</sup> is a linear or branched hydrocarbon chain with between 1 and 12 carbon atoms.

30. (Previously Presented) The process as claimed in claim 29, where R<sup>3</sup> has from 1 to 6 carbon atoms.

10 31. (Currently Amended) A process for preparing ~~these~~segment copolymers comprising segments "A" having polyvinylpyrrolidone (PVP) structure and segments "B" having a polyester structure, wherein the PVP segments have a weight average molecular weight between 600 and 15,000 in the form of linear copolymers of as claimed in claim 5 A-B-A type:

15 comprising carrying out a polycondensation reaction on PVP terminated at one end with a hydroxy or carboxy function with a biacid or a bialcohol with the proviso that the ratio of total moles of OH function/total moles of COOH functions is =1.

32. (Currently Amended) The process as claimed in claim 31 for preparing the linear copolymers having the structure PVP-COO-(R<sup>1</sup>-OOCR<sup>2</sup>COO)<sub>n</sub>-R<sup>1</sup>-OOC-  
20 PVP, or  
of claims 6 and 7, PVP-(OOC-R<sup>1</sup>-COOR<sup>2</sup>)<sub>n</sub>OOCR<sup>1</sup>COO-PVP where n is a number between 5 and 300, and R<sup>1</sup> and R<sup>2</sup> can be equal or different, and are linear or branched hydrocarbon chains having from 1 to 25 carbon atoms.

comprising effecting polycondensation reaction between PVPs monofunctionalized at one end with hydroxyl or carboxyl groups optionally in the form of methyl or ethyl esters, and mixtures of dicarboxylic acids and diols of respectively general formula  $\text{HOOC-R}^1\text{-COOH}$  and  $\text{HO-R}^2\text{-OH}$  where  $\text{R}^1$  and  $\text{R}^2$ , equal or different, are linear or branched hydrocarbon chains containing from 1 to 25 carbon atoms.

33. (Previously Presented) The process according to claim 32 wherein  $\text{R}^1$  and  $\text{R}^2$  have from 1 to 8 carbon atoms.

34. (Currently Amended) A process for preparing thesegment copolymers comprising segments "A" having polyvinylpyrrolidone (PVP) structure and  
10 segments "B" having a polyester structure wherein the PVP segments have a weight average molecular weight between 600 and 15,000, said copolymers being branched of claims 8 and 9, or hyperbranched comprising PVP segments located at the terminal ends of the branches, having the formula represented in Figure 1, wherein A is polyvinylpyrrolidone, D is the residue deriving from a polycarboxylic or polyol, wherein the hydroxy or carboxy functions are at least 3,  
15 (BC) indicate the repeating unit of the B polyester segment and n is comprised between 2 and 200,

comprising effecting polycondensation of the mixtures in variable proportions of:

a) PVPs monofunctionalized at one end with hydroxyl or carboxyl groups

20 optionally in the form of methyl or ethyl esters;

b) dicarboxylic acids and diols;

c) polyols or polycarboxylic acids having at least 3 hydroxyl or carboxyl functions, provided that:

i), when said copolymers are not crosslinked

"r" is  $< r_c$

ii) when said copolymers are crosslinked

"r" is  $> r_c$

$r = Na_0/Nb_0$ ,  $Na_0$  indicates the initial total number of hydroxy or carboxy function in  
5 defect,  $Nb_0$  indicates the total initial number of carboxy or hydroxy functions in  
excess,

$$r_c = \frac{1}{(f_{w,A}-1)(f_{w,B}-1)}$$

where  $f_{w,A}$  and  $f_{w,B}$  are the "weight" averages of the functionalities of the  
monomers present, including monoalcohol or monocarboxylic acid.

10 35. (Previously Presented) The process according to claim 34, wherein the  
diols and the diacids are of respectively general formula  $\text{HOOC-R}^1\text{-COOH}$  and  
 $\text{HO-R}^2\text{-OH}$ , where  $\text{R}^1$  and  $\text{R}^2$ , equal or different, are linear or branched  
hydrocarbon chains containing from 1 to 25 carbon atoms.

36. (Previously Presented) The process according to claim 35 wherein  $\text{R}^1$   
15 and  $\text{R}^2$  have from 1 to 8 carbon atoms.

37. (Currently Amended) The process according to ~~anyone of~~ claims 34-  
36, wherein the polyols or polycarboxylic acids have respectively per molecule  
between 3 and 12 hydroxy or carboxy functions.

38. (Previously Presented) The process according to claim 37, wherein the  
20 polyols or polycarboxylic acids have respectively per molecule between 3 and 6  
hydroxy or carboxy functions.

39. (Currently Amended) A process for preparing segment copolymers  
comprising segments "A" having polyvinylpyrrolidone (PVP) structure and



segments "B" having a polyester structure wherein the PVP segments have a weight average molecular weight between 600 and 15,000, in the form of branched or hyperbranched copolymers having located at the ends of the branches;

- 5    - PVP segments and residues derived from monocarboxylic acids R-COOH, or monohydroxylated alcohols of the R-OH type where R is a linear or branched hydrocarbon chain containing from 1 to 25 carbon atoms,
- PVP segments or residues derived from dicarboxylic acids HOOC-R-COOH or dihydroxylic alcohols of the HO-R-OH type where R is a R is a linear or branched
- 10   hydrocarbon chain as aforedefined,

~~the copolymers as claimed in anyone of claims 10-12,~~ comprising effecting a polycondensation of mixtures in various proportions of:

- a) PVPs monofunctionalized at one end with hydroxyl or carboxyl groups optionally in the form of methyl or ethyl esters;
- 15   b) dicarboxylic acids and diols;
- c) polyols or polycarboxylic acids having least 3 hydroxyl or carboxy functions
- d) monocarboxylic acids of type R-COOH or monohydroxylated alcohols of type ROH, where R has the aforementioned meanings
- provided that:
- 20   i), when said copolymers are not crosslinked
- "r" is  $< r_c$
- ii) when said copolymers are crosslinked
- "r" is  $> r_c$

$r = Na_0/Nb_0$ ,  $Na_0$  indicates the initial total number of hydroxy or carboxy function in defect,  $Nb_0$  indicates the total initial number of carboxy or hydroxy functions in excess,

$$r_c = \frac{1}{(f_{w,A}-1)(f_{w,B}-1)}$$

- 5 where  $f_{w,A}$  and  $f_{w,B}$  are the "weight" averages of the functionalities of the monomers present, including monoalcohol or monocarboxylic acid.

40. (Previously Presented) The process according to claim 39 wherein the diols and the diacids are of respectively general formula  $\text{HOOC-R}^1\text{-COOH}$  and  $\text{HO-R}^2\text{-OH}$ , where  $\text{R}^1$  and  $\text{R}^2$ , equal or different, are linear or branched

- 10 hydrocarbon chains containing from 1 to 25 carbon atoms.

41. (Previously Presented) The process according to claim 40, wherein  $\text{R}^1$  and  $\text{R}^2$  have from 1 to 8 carbon atoms.

42. (Currently Amended) The process according to ~~anyone of claims 40 and 41,~~ claim 40, wherein the polyols or polycarboxylic acids have respectively per  
15 molecule between 3 and 12 hydroxy or carboxy functions.

43. (Previously Presented) The process as claimed in claim 42, wherein the polyols or polycarboxylic acids have respectively per molecule between 3 and 6 hydroxy or carboxy functions.

44. (Currently Amended) Process for preparing ~~these~~ segment copolymers  
20 comprising segments "A" having polyvinylpyrrolidone (PVP) structure and segments "B" having a polyester structure wherein the PVP segments have a weight average molecular weight between 600 and 15,000, wherein the PVP segments are of claim 20, comb-grafted at one end onto polyester chains,

comprising effecting ring-opening polymerisation of mixtures of PVP terminating at one end with a lactone, alone or optionally with the same or a different lactone from the previous one.

45. (Currently Amended) The process as claimed in claim ~~46,44~~, carried  
5 out on PVP terminating with  $\gamma$ -butyrolactone, in the presence of  $\gamma$ -butyrolactone.

46. (Currently Amended) A process for preparing ~~thesegment~~ copolymers  
for preparing segment copolymers comprising segments "A" having  
polyvinylpyrrolidone (PVP) structure and segments "B" having a polyester  
structure wherein the PVP segments have a weight average molecular weight  
10 ~~between 600 and 15,000, according to claim 20~~ wherein the PVP segments are  
comb-grafted at one end.

comprising effecting a chain transfer polymerization reaction with N-vinyl  
pyrrolidone in the presence of PLGA as the chain transfer agent.

47. (Previously Presented) The process according to claim 46, further  
15 comprising a second chain transfer polymerization, wherein the chain transfer  
agent is methyl isobutyrate.

48. (Currently Amended) A composition comprising the segment  
copolymers according to ~~anyone of claims 1-20,claim 1~~, and an ingredient having  
therapeutic or cosmetic activity, or a dietary supplement.

20 ~~49. Use of copolymers claimed in claims 1-26 for preparing blends with~~  
~~copolymers~~49. Polymeric blends comprising the segment copolymers of claim 1  
and at least one copolymer of poly(lactic-glycolic) acid (PLGA) of various average  
molecular weight.